

08-30-00 A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Transmittal

Assistant Commissioner for Patents
 BOX PATENT APPLICATION
 Washington, D.C. 20231

JC920 U.S. PTO



08/29/00

IBM DOCKET NO. BUR9-1999-0267-US1

IBM Corporation
 Intellectual Property Law - 972E
 1000 River Street
 Essex Junction, VT 05452

JC918 U.S. PTO
09/650103

08/29/00

Sir:

Transmitted herewith for filing is the Patent application of:

Inventor: Francis A. Abramovich et al.

For: METHOD FOR SURFACE PREPARATION OF
WORKPIECES UTILIZING FLUID SEPARATION TECHNIQUES

Date: Aug. 29, 2000

Enclosed are:

- 3 sheets of drawings.
 An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.
 A certified copy of a _____ application.
 Declaration and Power of Attorney.
 Information Disclosure Statement with copies of cited references.
 Associate Power of Attorney.

The filing fee has been calculated as shown below:

(Col. 1) (Col. 2)

For:	No. Filed	No. Extra
Basic Fee		
Total Claims	30- 20=	10
Independent Claims	5- 3=	2
<input type="checkbox"/> Multiple Dependent Claim Presented		

Other Than Small Entity

Rate	Fee
	\$ 690.00
x \$18.00=	\$ 180.00
x \$78.00=	\$ 156.00
\$260.00	\$
TOTAL	\$ 1026.00

- Please charge Deposit Account No. 09-0456 in the amount of \$1026.00. A duplicate copy of this sheet is enclosed.
 The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0456. A duplicate copy of this sheet is enclosed.
 Any additional filing fees required under 37 CFR §1.16.
 Any patent application processing fees under 37 CFR §1.17.

Respectfully submitted,

FOR: Francis A. Abramovich et al.

BY:

Attorney: William D. Sabo
 Registration No.: 27,465
 Tel.: (802) 769-9454

INVENTOR INFORMATION

Inventor One Given Name::: Francis A
Family Name::: Abramovich
Postal Address Line One::: 80 Beaver Brook Road
City::: Milton
State or Province::: VT
Postal or Zip Code::: 05468
Citizenship Country::: USA
Inventor Two Given Name::: Nicole S
Family Name::: Carpenter
Postal Address Line One::: 161 Austin Drive, #111
City::: Burlington
State or Province::: VT
Postal or Zip Code::: 05401
Citizenship Country::: USA
Inventor Three Given Name::: Joseph R
Family Name::: Drennan
Postal Address Line One::: 85 E. Spring Street
City::: Winooski
State or Province::: VT
Postal or Zip Code::: 05404
Citizenship Country::: USA
Inventor Four Given Name::: Rick H
Family Name::: Gaylord
Postal Address Line One::: 6 Creek Road
City::: Essex Junction
State or Province::: VT
Postal or Zip Code::: 06452
Citizenship Country::: USA
Inventor Five Given Name::: Casey J
Family Name::: Grant
Postal Address Line One::: 214 Sunset Lane East
City::: Hinesburg
State or Province::: VT
Postal or Zip Code::: 05461
Citizenship Country::: USA
Inventor Six Given Name::: Kenneth F
Family Name::: McAvey
Name Suffix::: Jr
Postal Address Line One::: 100 West Canal Street, #19
City::: Winooski
State or Province::: VT
Postal or Zip Code::: 05404
Citizenship Country::: USA
Inventor Seven Given Name::: Mark A
Family Name::: Pakulski
Postal Address Line One::: 6 Shetland Lane
City::: Colchester
State or Province::: VT

Postal or Zip Code:: 05446
Citizenship Country:: USA
Inventor Eight Given Name:: Joel M
Family Name:: Sharow
Postal Address Line One:: 14 South Street
City:: South Hero
State or Province:: VT
Postal or Zip Code:: 05486
Citizenship Country:: USA
Inventor Nine Given Name:: William A
Family Name:: Syverson
Postal Address Line One:: 9 Windemere Way
City:: Colchester
State or Province:: VT
Postal or Zip Code:: 05446
Citizenship Country:: USA
Inventor Ten Given Name:: Alison K
Family Name:: Wood
Postal Address Line One:: 29 East Terrace
City:: South Burlington
State or Province:: VT
Postal or Zip Code:: 05403
Citizenship Country:: USA
Inventor Eleven Given Name:: Kenneth H
Family Name:: Yao
Postal Address Line One:: 68 Greenfield Road
City:: Essex Junction
State or Province:: VT
Postal or Zip Code:: 05452
Citizenship Country:: USA

CORRESPONDENCE INFORMATION

Correspondence Customer Number:: 22891
Fax One:: 203-787-5818
Electronic Mail One:: delpet@delpet.com

APPLICATION INFORMATION

Title Line One:: METHOD FOR SURFACE PREPARATION OF WORKPI
Title Line Two:: ECES UTILIZING FLUID SEPARATION TECHNIQU
Title Line Three:: ES
Total Drawing Sheets:: 3
Formal Drawings?:: Yes
Application Type:: Utility
Docket Number:: BUR9- 1999-0267-US1
Secrecy Order in Parent Appl.?:: No

REPRESENTATIVE INFORMATION

Representative Customer Number:: 22891

Source:: PrintEFS Version 1.0.1

**APPLICATION
FOR
UNITED STATES LETTERS PATENT**

APPLICANT NAME: FRANCIS A. ABRAMOVICH ET AL.

TITLE: METHOD FOR SURFACE PREPARATION OF WORKPIECES
UTILIZING FLUID SEPARATION TECHNIQUES

DOCKET NO.: BUR9-1999-0267-US1

INTERNATIONAL BUSINESS MACHINES CORPORATION

Express Mail No.: EL046033518US

ibm100270000pat

METHOD FOR SURFACE PREPARATION OF WORKPIECES UTILIZING FLUID SEPARATION TECHNIQUES

Background Of The Invention

1. Field of the Invention

5 This invention discloses a method and apparatus for utilizing fluid separation techniques for preparing a workpiece surface. Multiple fluids of differing densities and miscibilities wherein a fluid interface is present may be used to remove foreign materials on a workpiece surface.

10 **2. Description of Related Art**

During industrial workpiece fabrication, e.g., semiconductor wafer processing, wet chemical processing takes place during various standard processing steps. It is during these wet chemical processing steps that harsh chemicals (i.e. HF, H₂SO₄, H₃PO₄, HNO₃, NH₄OH, etc.) are used to perform various processes on the wafers such as film removal, cleaning, and/or surface conditioning. It is important for environmental and health reasons that these hazardous chemicals be removed prior to subsequent processing of the wafer. It is a common, industry recognized technique to use water as a final rinse to remove the hazardous chemicals from the wafer surface. The final step in any standard processing is to thoroughly remove all residual chemicals, including water, from the wafer surface.

Current workpiece surface preparation processes include tank processes and processes utilizing various techniques, such as megasonics, sprays, chemicals, spin, puddle, brush, etc. These techniques have been optimized singularly and in various combinations for different surface preparation applications. However, further optimization is required in order to achieve ideal particle, foreign material, etc., removal. Workpiece surface characteristics topography, prior process effects, etc., impact subsequent process effectiveness. Alternate techniques which can be used singularly or in combination with current techniques are needed that improve cleaning,

surface preparation, coating and chemical removal performance. Also, there is a need for the development of more environmentally friendly processes which do not require disposal of chemicals.

It is also well known that at various stages of IC processing, the surface of the wafer is changed so as to increase or decrease the amount of exposed silicon. In the case of increasing exposed silicon, for example, as in DRAM devices utilizing deep trenches, de-ionized water (DIW) will dissolve small amounts of silicon. If the DIW is allowed to evaporate, the dissolved silica is re-deposited onto the wafer either on the surface or within structures such as deep trenches. Such silicate deposits are called watermarks. Watermarks that remain on a wafer during subsequent processing can result in defects within the ICs. Prevention of watermark formation is key to limiting defects. One method of reducing or eliminating watermark formation is to prevent the DIW from evaporating while remaining in contact with the wafer surface. To achieve this goal, it is necessary to remove the water from the surface before the wafer is exposed to the atmosphere.

Bearing in mind the problems and deficiencies of the prior art, it is therefore an object of the present invention to provide a method of performing various processes on a wafer or workpiece surface.

It is another object of the present invention to provide a method of preparing a workpiece surface which removes substantially all foreign materials and residual chemicals, including water, from the workpiece surface.

A further object of the invention is to provide a method of removing water from a workpiece surface before the workpiece is exposed to the atmosphere such that water marks are substantially eliminated from the workpiece surface.

It is yet another object of the present invention to provide an apparatus for practicing the above methods.

Still other objects and advantages of the invention will in part be obvious and will in part be apparent from the specification.

Summary of the Invention

The above and other objects and advantages, which will be apparent to one of skill in the art, are achieved in the present invention which is directed to, in a first aspect, a method of preparing one or any number of article surfaces comprising the steps of: providing at least two fluids of differing densities such that a fluid interface exists between each fluid layer; providing one or any number of articles with a reactive component on a surface of the article having a greater affinity or solubility to one of the at least two fluids; positioning the one or any number of articles into the at least two fluids; and treating the reactive component on the article surface by drawing the article through at least one fluid interface vertically, horizontally, or at any other orientation. Preferably, the step of providing at least two fluids of differing densities includes providing liquids, pressurized gases or mixtures thereof.

Preferably, the method of this aspect further includes the step of terminating the treating step by extracting the article through the fluid interface into another of the at least two fluids having substantially less affinity or solubility to the reactive component or removing one of the at least two fluids having substantially less affinity or solubility to the reactive component.

Alternatively, the step of providing an article with a reactive component, the reactive component has a greater affinity or solubility to a fluid having a higher density than another of the at least two fluids, such that the step of positioning the article into the at least two fluids comprises positioning the article into the at least two fluids with agitation and further including the step of ceasing the agitation and drawing the article through the fluid interface. Alternatively, the step of providing an article with a reactive component, the reactive component has a greater affinity or solubility to a fluid having a higher density than another of the at least two fluids, and wherein the step of positioning the article into the at least two fluids comprises positioning the article into the at least two fluids with mixing at an elevated temperature and further including the

steps of ceasing the mixing at an elevated temperature and cooling the fluids such that the fluid having a higher density with a greater affinity or solubility for the reactive component settles and drawing the article through the fluid interface.

In a second aspect, the present invention is directed to a method of preparing a workpiece surface comprising the steps of: providing a reaction vessel having a first inlet/outlet means located at a bottom of the vessel and a second inlet/outlet means located above the first outlet means; providing a first fluid into the reaction vessel; providing at least one other fluid into the reaction vessel, the at least one other fluid having a higher density than the first fluid such that a fluid interface exists between a first fluid layer and an at least one other fluid layer; providing a workpiece having a surface component having a greater affinity or solubility to either the first fluid or the at least one other fluid; submerging the workpiece into the reaction vessel having the first fluid and the at least one other fluid such that the workpiece is below the fluid interface; treating the surface component by drawing the workpiece through the fluid interface; and terminating the treating step. This aspect may further include the step of providing another fluid into the reaction vessel having a different density than either the first fluid or the at least one other fluid. The another fluid may comprise a pressurized gas.

Wherein the step of providing a workpiece having a surface component comprises providing a workpiece having a surface component having a greater affinity or solubility to the first fluid and the step of submerging the workpiece into the reaction vessel comprises positioning the workpiece in the at least one other fluid layer such that during the step of treating the surface component, the surface component remains in the first fluid layer. Wherein the step of providing a workpiece having a surface component comprises providing a workpiece having a surface component having a greater affinity or solubility to the at least one other fluid and the step of submerging the workpiece into the reaction vessel comprises passing the workpiece through the fluid interface into the at least one other fluid layer such that the surface component is treated with the at least one other fluid layer.

The current aspect may further include the step of heating the first fluid and the at least one other fluid into solution after submerging the workpiece into the reaction vessel such that upon cooling, the first fluid and the at least one other fluid layer are immiscible with the fluid interface present and the surface component is substantially present in only one of the fluid layers. Alternatively, the current aspect may further include the step of agitating the first fluid and the at least one other fluid after submerging the workpiece into the reaction vessel such that upon ceasing agitation, the first fluid layer and the at least one other fluid layer are immiscible with the fluid interface present and the surface component is substantially present in only one of the fluid layers.

In a third aspect, the present invention is directed to a method of removing water and water soluble impurities from a workpiece surface comprising the steps of: providing a reaction vessel containing water; providing at least one fluid having a different density than the water such that predominant fluid layers and a water layer exists with a fluid interface between each fluid layer and the water layer; passing the workpiece through the at least one fluid interface; and stripping the water and water soluble impurities from the workpiece surface as the water and water insoluble impurities remain in the water layer. This aspect may further include the step of removing the water layer from the reaction vessel when the step of stripping the water and water soluble impurities is substantially completed if the workpiece is positioned below the water layer. Additional energy input such as thermal energy, agitation or sonic energy may enhance the removal rate of the impurities.

In a fourth aspect, the present invention is directed to a method of removing a surface component from a workpiece surface comprising the steps of: providing an etchant fluid; providing at least one fluid immiscible with the etchant fluid having a different density than the etchant fluid; positioning the workpiece in the etchant solution to facilitate etching of the surface component; and terminating etching of the surface

component when the workpiece is passed through the fluid interface into the at least one fluid immiscible with the etchant fluid.

Wherein the step of providing at least one fluid immiscible with the etchant solution comprises providing two fluids immiscible with the etchant solution, both 5 fluids having a lower density than the etchant solution and immiscible with each other such that a first fluid interface exists between the two fluids and the etchant solution and a second fluid interface exists between the two fluids.

In a fifth aspect, the present invention is directed to an apparatus for preparing a workpiece surface utilizing multiple fluids comprising: an open vessel; one or more 10 interior partitions extending between interior walls of the vessel within a top portion of the vessel without extending to a bottom surface of the vessel creating at least two chambers such that multiple liquid interfaces are created when the vessel is filled with a high density fluid above a bottom surface of the partition and one or more fluids of lower density are poured into each of the chambers.

15 The apparatus may further include one or more interior partitions extending from a bottom portion of the vessel alternating with the one or more interior partitions extending between the interior walls of the vessel such that the vessel may be filled with more than one high density fluid above a bottom surface of the partition yet below a top portion of the partition extending from a bottom portion of the vessel.

20

Brief Description of the Drawings

The features of the invention believed to be novel and the elements characteristic of the invention are set forth with particularity in the appended claims. The figures are for illustration purposes only and are not drawn to scale. The invention itself, 25 however, both as to organization and method of operation, may best be understood by reference to the detailed description which follows taken in conjunction with the accompanying drawings in which:

Fig. 1 is a cross-sectional view of a preferred apparatus of the present invention used in practicing a preferred embodiment of the present invention.

Fig. 2 is a cross-sectional view of a preferred apparatus of the present invention used in practicing another preferred embodiment of the present invention.

5 Fig. 3 is a cross-sectional view of a preferred apparatus of the present invention used in practicing yet another preferred embodiment of the present invention.

Description of the Preferred Embodiment(s)

In describing the preferred embodiment of the present invention, reference will
10 be made herein to Figs. 1-3 of the drawings in which like numerals refer to like
features of the invention. Features of the invention are not necessarily shown to scale
in the drawings.

This invention teaches the use of two or more fluids of low miscibility and of
differing densities as a workpiece surface preparation system. The properties of low
15 miscibility and differing density are advantageous in the implementation of this idea. In
addition to the above mentioned properties, the fluids should be chosen so that the
solubility or affinity of one of the fluids is high for the material to be removed from a
surface, while the other fluid has low solubility or affinity for the material to be
removed. Fluids are defined as any material or substance that changes shape or
20 direction uniformly in response to an external force imposed on it, and include not only
liquids but gases as well. See *Hawley's Condensed Chemical Dictionary*, 13th Edition
(1997).

Preferably, this technique is practiced in an open tank or a contained vessel
depending upon the chemicals involved although any process enclosure would suffice.
25 At least two fluids having the qualities described above are dispensed into the tank and
allowed to settle resulting in two or more predominant layers separated by a minimal
interface or two or more predominant layers separated by a diffuse interface. The

surface preparation technique, e.g., cleaning or drying process, may occur within one or more of the predominant layers, at the interface or both.

A final surface preparation step may be to pass the workpiece or wafer through the interface(s) vertically, horizontally, or at any other orientation. The rate of extraction should be controlled to prevent excessive stirring of the two or more fluids. Preferably, the interface should remain fairly flat during the extraction process to take advantage of the differing solubility characteristics of the fluids being utilized. In addition, the rate of extraction can be adjusted to take advantage of any surface tension gradient that exists between the two fluids to sweep the workpiece free of the higher density fluid and/or other contaminants. It is not required that the workpiece be dried via the pumping action of the surface tension gradient although this may be an incidental result. Agitation or energy input which is periodic in time, or any combination thereof may be imparted on the two or more fluids followed by fluid settling allows the solutions to separate and could be included as part of the process prior to passing the workpiece through the interface(s). Additionally, mixing the fluids at an elevated temperature for a portion of the process followed by a temperature reduction to cause separation of the fluids could be included as part of this process prior to passing the workpiece or wafer through the fluid interface.

In a first embodiment for carrying out a cleaning process, at least two fluids are chosen wherein a higher density fluid(s) (HDL) is preferably chosen to have a high solubility or affinity for the material to be removed. A lower density fluid(s) (LDL) floats on top of the HDL. Preferably, the LDL should have low solubility for the material to be removed although this embodiment may be practiced with an LDL that has a higher solubility or affinity for the material to be removed. The object to be cleaned is introduced into the HDL. This can be done before or after the introduction of the LDL. After soaking for a time commensurate with the reaction rate of the fluid with the material being removed from the object, the object can then be extracted by lifting the object up through the interface between the two fluids vertically,

horizontally, or at any other orientation. The rate of the extraction should be controlled to prevent excessive stirring of the two fluids; preferably, the interface should remain fairly flat during the extraction process. In addition, the rate of extraction can be adjusted to take advantage of any surface tension gradient that exists between the two 5 fluids to result in the object being swept free of the higher density fluid. It is not required that the object be dried via the pumping action of the surface tension gradient although this may be an incidental result.

An exemplary application of this type of cleaning system is: oil floating on water. The water would have high solubility for, for example, halogen compounds 10 such as HCl, while the oil would be chosen to have low solubility for the same material. The workpiece can be cleaned in the water and coated with the oil for corrosion protection.

Another preferred embodiment of this invention teaches the method of removing water from the wafer surface prior to its exposure to the atmosphere. In this 15 embodiment for drying or water extraction utilizing solubility and miscibility properties, a water insoluble fluid (WIF) having a different density than water is provided in an immersion tank. Wet workpieces are then inserted and soaked in the water insoluble solution. If the surface energy of the water-workpiece interface is greater than that of the water-WIF interface, then water remaining on the workpiece 20 will be released from the workpiece preferably forming globules which will migrate away from the workpiece due to the buoyancy force of the water globule in the WIF. This method essentially strips the water and the water soluble impurities from the workpiece surface. Additional energy input, such as agitation or an energy input which is periodic in time, or any combination thereof, can enhance the removal rate.

25 Alternatively, in systems for drying or water extraction utilizing solubility and miscibility properties, a multi-medium system comprising a fluid with high solubility for water and at least one fluid with low solubility for water, the fluids being of differing densities and of low relative miscibility, are provided in an immersion tank.

Workpieces are then inserted into the water soluble layer of the system where final rinsing can occur if desired. The wafer or workpiece is then passed through the fluid interface as described in the previous embodiment. Residual water and water soluble impurities are left behind in the fluid with high solubility for water as the wafer or
5 workpiece is passed through the system interface.

Examples of systems which remove water and water soluble impurities comprise a fluid with a density lower than that of water would be an ether/water system. In this system, the water and water soluble impurities from the workpieces would remain in the bottom of the tank. Workpieces could then be pulled directly up and out of the top
10 of the tank. Another example of a system containing a solvent with a density lower than that of water comprises a liquid butane/water system at room temperature. This system would require a pressurized vessel or chamber to form and/or maintain the butane in its liquid state.

An example of a system containing a solvent with a density higher than that of
15 water would be a chloroform/water system. In this system, water and water soluble impurities from the workpieces would remain in the top layer of the system as the wafers are being passed through the fluid interface. This system may require a method of removing the wafers without exposing the wafers to the water portion of the system immediately prior to removal. This may be achieved by providing a system apparatus
20 capable of removing water above the fluid interface prior to removing the workpieces.

An example of such an apparatus is shown in Fig. 1 wherein a vertically oriented vessel 10 has at least one bottom drainage/fill means 20 and another more centrally located drainage/fill means 30. Most preferably, drainage/fill means 30 is located above bottom drainage/fill means 20. A workpiece 40 having foreign material
25 45 is passed through the fluid interface into the bottom portion of vessel 10, in this case into the chloroform layer or HDL 50. The water layer or LDL 60 has a higher affinity for the water and water soluble impurities such that foreign material 45 remains in the water layer. Workpiece 40 may be placed on a mechanical or lever means 70 in order

to position, raise and lower workpiece 40 within vessel 10. The water layer or LDL 60 may be removed through drainage/fill means 30 prior to removing workpiece 40. Alternatively, chamber 10 may have a point of entrance in the portion of vessel 10 containing the water layer and a point of exit in the portion of vessel 10 containing the
5 HDL.

Yet another preferred embodiment of the present invention utilizes a particle dissolving HDL, e.g., chloroform or acetic acid, and a LDL, e.g., water or oil, wherein the two fluids are agitated or heated into solution within a tank having workpieces to be cleaned or surface treated. After an effective period of time the
10 agitation ceases causing the particle dissolving HDL with dissolved or suspended particles to fall to the bottom of the tank and the LDL to rise. The thermodynamic state of the system could be changed to promote density and solubility differences of the two fluids. Workpieces would then be passed through the fluid interfaces as a final treatment.
15

In practicing the preferred embodiments of the present invention, the apparatus of Fig. 1 may also be used with an etching system utilizing the fluid interface methodology disclosed above. Workpiece 40 is first soaked in the bottom portion of vessel 10 wherein HDL 50 is capable of etching a layer from a surface of workpiece 40 is located. A LDL 60 floating above HDL 50 is preferably a fluid which has a low
20 affinity for the layer to be removed such that upon raising workpiece 40 above the fluid interface, the process of etching the workpiece stops almost immediately. Upon soaking workpiece 40 for an effective period of time to remove the unwanted layer, workpiece 40 is passed through the fluid interface into LDL 60 which preferably terminates any reaction process and in some instances may act as a rapid etch stop.
25

Alternatively, a horizontally oriented vessel utilizing an ether/water system could be used as well for drying applications. Once one batch of workpieces in a first tank has been soaked in the ether for a predetermined amount of time a valve is opened between the first tank and an adjacent second empty tank having wet wafers therein.

The ether from the first tank is pumped to the second tank. The valve is then closed, dry wafers from the first tank removed, new wet wafers positioned in the first tank and the first tank sealed to await ether fill from the second tank.

It is important to note that multiple fluids may be used in practicing the instant invention, thus still yet another preferred apparatus of the present invention is vessel 80 having multiple chambers wherein multiple fluid systems may be used, is illustrated in Fig. 2. Vessel 80 has one or more interior partitions 90 (although only one is shown herein) which extend across a top portion of vessel 80 without completely extending to a bottom of vessel 80 such that multiple fluids may be used in a single system. In Fig. 10, a fluid 100 has a higher density than fluids 110 and 120. Fluids 110 and 120 may or may not have similar densities so long as they have a lower density than fluid 100. Thus, a fluid interface 115 exists between fluid 100 and fluid 110, and a fluid interface 125 exists between fluid 100 and fluid 120. A wafer 130 may enter into vessel 80 through fluid 120, pass through fluid interface 125 into fluid 100, pass through fluid interface 115 and into fluid 110. If additional fluids are needed in the system, a vessel 200 shown in Fig. 3 having one or more interior partitions 135 extending from a bottom surface of vessel 200 may be used in practicing the present invention. The number of interior partitions in the vessel needed to provide a working multi-fluid system can be determined by one of skill in the art.

20 The present invention achieves the objects recited above. By utilizing two or more fluids of low miscibility and differing densities as a workpiece surface preparation system, fluids may be chosen having an affinity for a material to be removed from a surface of the workpiece. As the workpiece is passed through the fluid interface, residual chemicals including water are substantially removed or processes such as 25 etching are abruptly halted. The apparatus of the present invention allows multiple fluid systems to perform various processes on a surface of a wafer or workpiece wherein the wet chemicals are contained for ease of reuse and recycling.

While the present invention has been particularly described, in conjunction with a specific preferred embodiment, it is evident that many alternatives, modifications and variations will be apparent to those skilled in the art in light of the foregoing description. It is therefore contemplated that the appended claims will embrace any
5 such alternatives, modifications and variations as falling within the true scope and spirit of the present invention.

Thus, having described the invention, what is claimed is:

Claims

1 1. A method of preparing an article surface comprising the steps of:
2 providing at least two fluids of differing densities such that a fluid interface exists
3 between each fluid layer;
4 providing one or more articles with one or more reactive components on a surface
5 of one or more of the articles having a greater affinity or solubility to one of the
6 at least two fluids;
7 positioning the one or more articles into the at least two fluids; and
8 treating one or more of the reactive components on the article surface by passing the
9 article through at least one fluid interface vertically, horizontally, or at any
10 other orientation.

1 2. The method of claim 1 wherein in the step of providing an article with a reactive
2 component on the surface of the article having a greater affinity or solubility to one of
3 the at least two fluids, the one of the at least two fluids having a greater affinity or
4 solubility to the reactive component has a higher density than another of the at least two
5 fluids.

1 3. The method of claim 2 wherein the step of providing at least two fluids of
2 differing densities comprises providing water and chloroform such that the reactive
3 component comprising water or water soluble contaminants will remain in a water layer
4 when the article is passed through the fluid interface into a chloroform layer and further
5 including the step of removing the water layer prior to removing the article.

1 4. The method of claim 1 wherein in the step of providing an article with a reactive
2 component on a surface of the article having a greater affinity or solubility to one of the
3 at least two fluids, the one of the at least two fluids having a greater affinity or

4 solubility to the reactive component has a lower density than the other of the at least
5 two fluids.

1 5. The method of claim 4 wherein the step of providing at least two fluids of
2 differing densities comprises providing water and ether such that the reactive
3 component comprising water or water soluble contaminants will remain in a water layer
4 when the article is passed through the fluid interface.

1 6. The method of claim 1 wherein the step of providing at least two fluids of
2 differing densities includes providing a pressurized gas.

1 7. The method of claim 1 wherein the step of treating the reactive component
2 comprises etching the reactive component on the article surface by positioning the
3 article in the fluid having a greater affinity or solubility for the reactive component,
4 such fluid being denser than another of the at least two fluids.

1 8. The method of claim 1 further including the step of terminating the treating step
2 by extracting the article through the fluid interface into another of the at least two fluids
3 having substantially no affinity to the reactive component.

1 9. The method of claim 1 further including the step of terminating the treating step
2 by removing one of the at least two fluids having substantially no affinity to the reactive
3 component.

1 10. The method of claim 1 wherein in the step of providing an article with a reactive
2 component, the reactive component having a greater affinity or solubility to a fluid
3 having a higher density than another of the at least two fluids, and wherein the step of
4 positioning the article into the at least two fluids comprises positioning the article into

5 the at least two fluids with agitation or energy input which is periodic in time, or any
6 combination thereof and further including the step of ceasing the agitation and passing
7 the article through the fluid interface.

1 11. The method of claim 1 wherein the step of providing an article with a reactive
2 component, the reactive component having a greater affinity or solubility to a fluid
3 having a higher density than another of the at least two fluids, and wherein the step of
4 positioning the article into the at least two fluids comprises positioning the article into
5 the at least two fluids with mixing at an elevated temperature and further including the
6 steps of ceasing the mixing at an elevated temperature and cooling the fluids such that
7 the fluid having a higher density with an affinity for the reactive component settles and
8 passing the article through the fluid interface.

1 12. A method of preparing a workpiece surface comprising the steps of:
2 providing a reaction vessel having a first inlet/outlet means located at a bottom of
3 the vessel and a second inlet/outlet means located above the first outlet means;
4 providing a first fluid into the reaction vessel;
5 providing at least one other fluid into the reaction vessel, the at least one other fluid
6 having a higher density than the first fluid such that a fluid interface exists
7 between a first fluid layer and an at least one other fluid layer;
8 providing a workpiece having a surface component having a greater affinity or
9 solubility to either the first fluid or the at least one other fluid;
10 submerging the workpiece into the reaction vessel having the first fluid and the at
11 least one other fluid such that the workpiece is below the fluid interface;
12 treating the surface component by passing the workpiece through the fluid interface;
13 and
14 terminating the treating step.

1 13. The method of claim 12 further including the step of providing another fluid
2 into the reaction vessel having a different density than either the first fluid or the at
3 least one other fluid.

1 14. The method of claim 12 wherein the step of providing a workpiece having a
2 surface component comprises providing a workpiece having a surface component
3 having a greater affinity or solubility to the first fluid and the step of submerging the
4 workpiece into the reaction vessel comprises positioning the workpiece in the at least
5 one other fluid layer such that during the step of treating the surface component, the
6 surface component remains in the first fluid layer.

1 15. The method of claim 12 wherein the step of providing a workpiece having a
2 surface component comprises providing a workpiece having a surface component
3 having a greater affinity or solubility to the at least one other fluid and the step of
4 treating the surface component comprises passing the workpiece through the fluid
5 interface into the at least one other fluid layer such that the surface component is treated
6 with the at least one other fluid layer.

1 16. The method of claim 15 wherein the step of terminating the processing step
2 comprises lifting the workpiece through the fluid interface into the first fluid which has
3 substantially no affinity for the surface component.

1 17. The method of claim 12 further including the step of heating the first fluid and
2 at least one other fluid into solution after submerging the workpiece into the reaction
3 vessel such that upon cooling, the first fluid layer and the at least one other fluid layer
4 are immiscible with the fluid interface present and the surface component is
5 substantially present in only one of the fluid layers.

1 18. The method of claim 12 further including the step of agitating the first fluid and
2 the at least one other fluid after submerging the workpiece into the reaction vessel such
3 that upon ceasing agitation, the first fluid layer and the at least one other fluid layer are
4 immiscible with the fluid interface present and the surface component is substantially
5 present in only one of the fluid layers.

1 19. The method of claim 12 wherein the step of providing a workpiece having a
2 surface component comprises providing a workpiece wherein the surface component is
3 water and wherein the first fluid comprises water such that the step of treating the
4 surface component occurs when the workpiece is passed through the fluid interface into
5 the at least one other fluid layer wherein the surface component remains the first fluid
6 layer.

1 20. The method of claim 12 wherein the step of treating the surface component
2 comprises etching the surface component from a surface of the workpiece and wherein
3 the step of terminating the treating step by passing the workpiece through the fluid
4 interface comprises a rapid etch stop.

1 21. The method of claim 13 wherein the step of terminating the treating step
2 comprises removing the first fluid from the reaction vessel.

1 22. The method of claim 12 wherein the steps of providing a first fluid or the at
2 least one other fluid comprises providing a pressurized gas.

1 23. A method of removing water and water soluble impurities from a workpiece
2 surface comprising the steps of:
3 providing a reaction vessel containing water;

4 providing at least one fluid having a different density than the water such that
5 predominant fluid layers and a water layer exists with a fluid interface between
6 each fluid layer and the water layer;
7 passing the workpiece through the at least one fluid interface; and
8 stripping the water and water soluble impurities from the workpiece surface as the
9 water and water insoluble impurities remain in the water layer.

1 24. The method of claim 23 further including the step of removing the water layer
2 from the reaction vessel when the step of stripping the water and water soluble
3 impurities is substantially completed if the workpiece is positioned below the water
4 layer.

1 25. A method of removing a surface component from a workpiece surface
2 comprising the steps of:

3 providing an etchant fluid;
4 providing at least one fluid immiscible with the etchant fluid having a different
5 density than the etchant fluid;
6 positioning the workpiece in the etchant solution to facilitate etching of the surface
7 component; and
8 terminating etching of the surface component when the workpiece is passed through
9 the fluid interface into the at least one fluid immiscible with the etchant fluid.

1 26. The method of claim 25 wherein the step of providing at least one fluid
2 immiscible with the etchant solution comprises providing two fluids immiscible with the
3 etchant solution, both fluids having a lower density than the etchant solution and
4 immiscible with each other such that a first fluid interface exists between the two fluids
5 and the etchant solution and a second fluid interface exists between the two fluids.

1 27. The method of claim 26 wherein the step of terminating etching of the surface
2 component comprises passing the workpiece through the first fluid interface into one of
3 the two fluids.

1 28. The method of claim 26 wherein the step of terminating etching of the surface
2 component comprises drawing the workpiece through the first fluid interface to provide
3 a rapid etch stop and further including the step of passing the workpiece through the
4 second fluid interface such that a protective coating is formed on a surface of the
5 workpiece.

1 29. An apparatus for preparing a workpiece surface utilizing multiple fluids
2 comprising:

3 an open vessel;
4 one or more interior partitions extending between interior walls of the vessel within
5 a top portion of the vessel without extending to a bottom surface of the vessel
6 creating at least two chambers such that multiple liquid interfaces are created
7 when the vessel is filled with a high density fluid above a bottom surface of the
8 partition and one or more fluids of lower density are poured into each of the
9 chambers.

1 30. The apparatus of claim 29 further including one or more interior partitions
2 extending from a bottom portion of the vessel alternating with the one or more interior
3 partitions extending between the interior walls of the vessel such that the vessel may be
4 filled with more than one high density fluid above a bottom surface of the partition yet
5 below a top portion of the partition extending from a bottom portion of the vessel.

**METHOD FOR SURFACE PREPARATION OF WORKPIECES UTILIZING
FLUID SEPARATION TECHNIQUES**
ABSTRACT OF THE DISCLOSURE

A method of and apparatus for preparing a workpiece surface utilizing two or more fluids of differing density and miscibility which create one or more fluid interfaces wherein the fluids are chosen such that the solubility or affinity of one of the fluids is high for a material to be removed from the workpiece surface while the other fluid has a low solubility or affinity for the material to be removed. The workpiece surface is treated by passing the workpiece through the fluid interface. The two or more fluids are preferably dispensed into an apparatus of the present invention and allowed to settle into two or more predominant layers separated by an interface. Surface preparation techniques which may benefit from the present invention include etching, cleaning or drying processes and the like.

F i g. 1

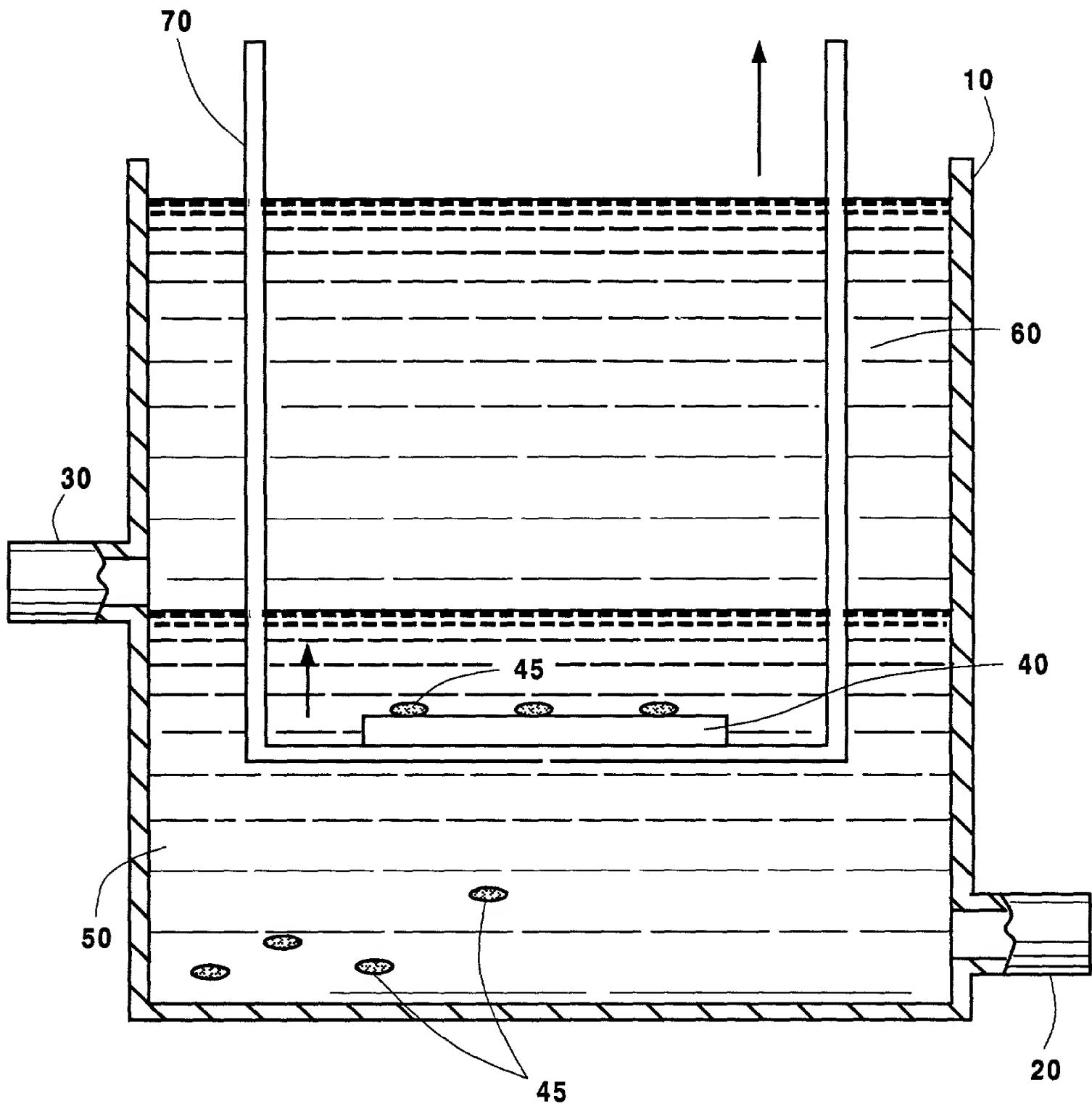


Fig. 2

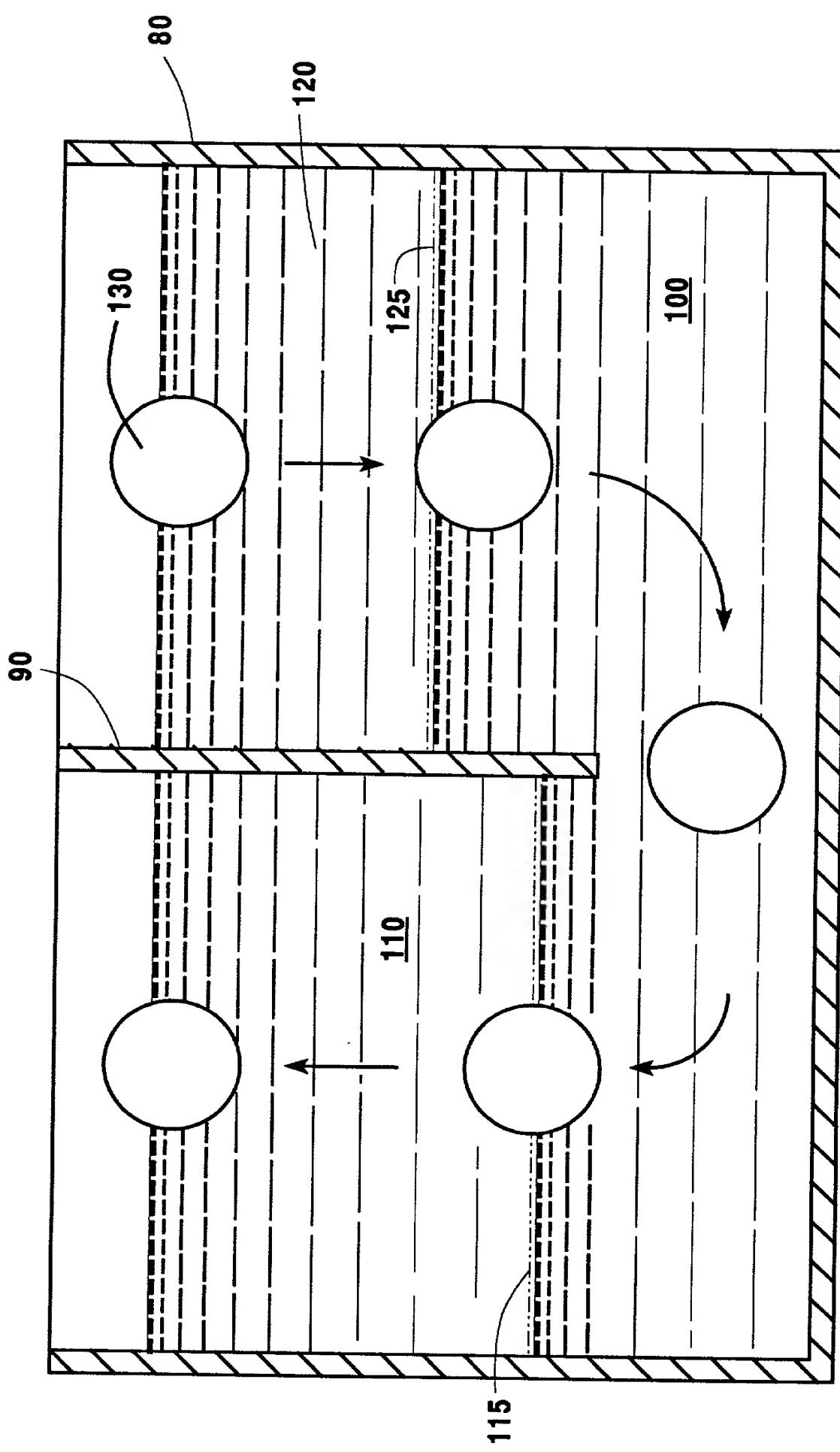
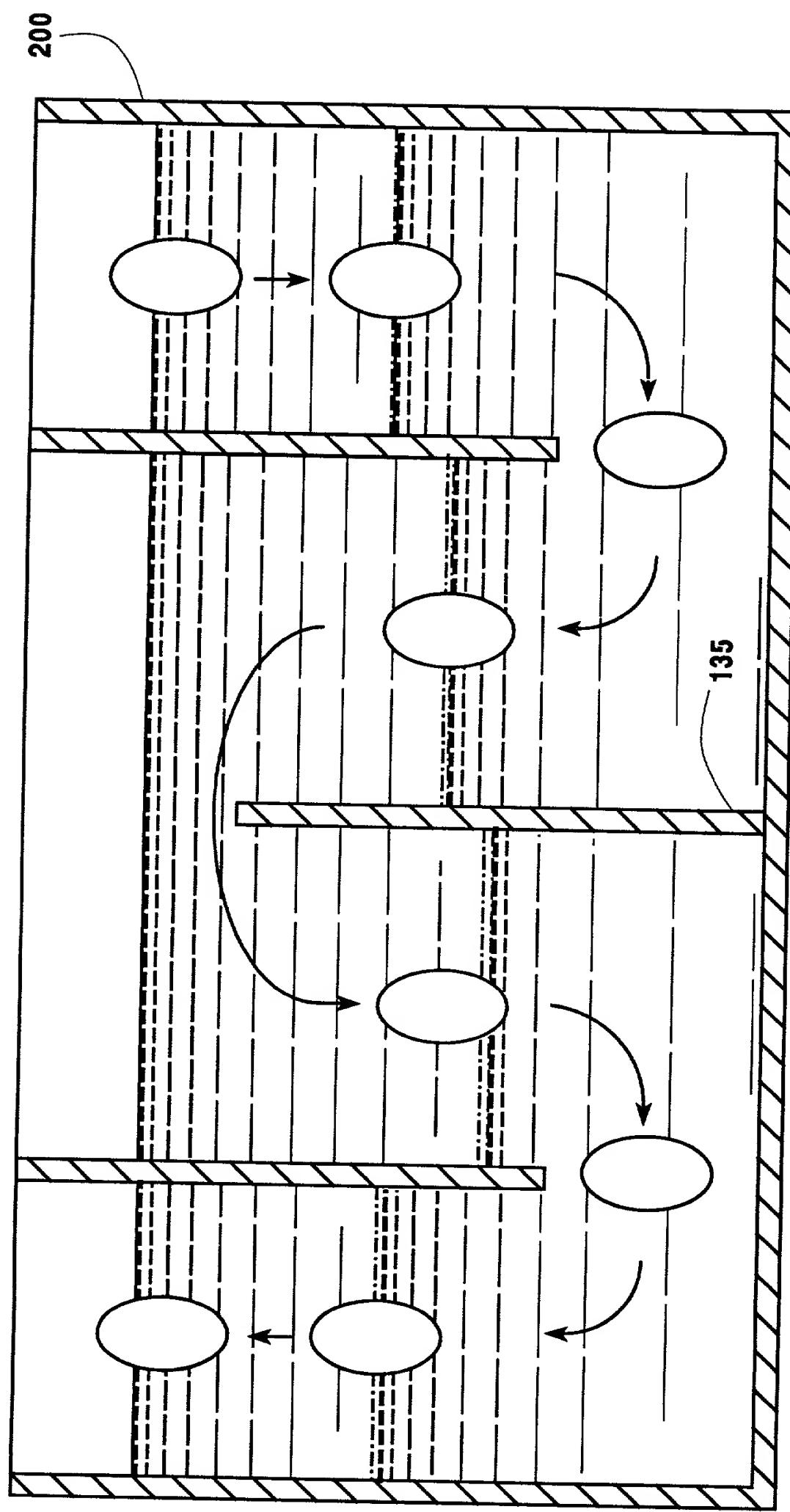


Fig. 3



Declaration and Power of Attorney for Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD FOR SURFACE PREPARATION OF WORKPIECES UTILIZING FLUID SEPARATION TECHNIQUES

the specification of which (check one)



is attached hereto.



was filed on _____ as Application Serial No. _____ and was amended on
_____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 USC §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):			
Number	Country	Day/Month/Year	Priority Claimed

I hereby claim the benefit under 35 USC §119(e) of any United States provisional application(s) listed below:

Application Number	Filing Date
--------------------	-------------

I hereby claim the benefit under 35 USC §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 USC §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

Express Mail No.: EL046033518US

IBM Docket No.: BUR9-1999-0267-US1

Prior U.S. Applications:

Serial No.	Filing Date	Status (patented, pending, abandoned)
------------	-------------	---------------------------------------

I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Anthony P. DeLio (Reg. No. 18,729); Peter W. Peterson (Reg. No. 31,867); John J. Tomaszewski (Reg. No. 26,241); Shirley S. Ma (Reg. No. 44,216); Robert Curcio (Reg. No. 44, 638); Mark F. Chadurjian (Reg. No. 30,739); Richard M. Kotulak (Reg. No. 27,712); James M. Leas (Reg. No. 34, 372); William D. Sabo; (Reg. No. 27,465); Eugene I. Shkurko (Reg. No. 36,678); Robert A. Walsh (Reg. No. 26,516); Howard J. Walter, Jr. (Reg. No. 24,832); Richard A. Henkler (Reg. No. 39,220).

Address all telephone calls to: Shirley S. Ma of DeLIO & PETERSON, LLC at (203) 787-0595.

Address all correspondence to: DeLIO & PETERSON, LLC
121 Whitney Avenue
New Haven, CT 06510

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(1) Inventor: Francis A. Abramovich

Signature: 

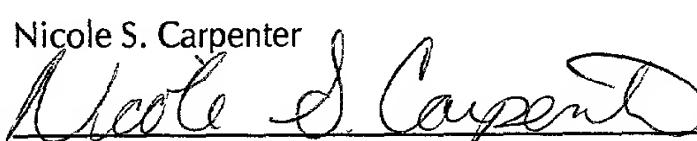
8/28/00
Date

Residence: 80 Beaver Brook Road, Milton, VT 05468

Citizenship: USA

Post Office
Address: SAME AS RESIDENCE

(2) Inventor: Nicole S. Carpenter

Signature: 

8/29/00
Date

Residence: 161 Austin Drive #111, Burlington, VT 05401

Citizenship: USA

Post Office
Address: SAME AS RESIDENCE